

USE IN LIEU OF PTO-1390 (Rev. 12-2004)  
 Approved for use through 3/31/2007. OMB 0651-0021  
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL LETTER TO THE UNITED STATES          DESIGNATED/ELECTED OFFICE (DO/EO/US)          CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER R0579.70000US01
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>107524100</b>
INTERNATIONAL APPLICATION NO. PCT/US03/25068	INTERNATIONAL FILING DATE 11 August 2003 (11.08.2003)	PRIORITY DATE CLAIMED 09 August 2002 (09.08.2002)
TITLE OF INVENTION APPARATUS FOR DISPLAYING CULINARY, HORTICULTURAL OR FLORAL ITEMS		
APPLICANT(S) FOR DO/EO/US RAMIREZ, Steven W.		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☒ The US has been elected (Article 31).
- ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - ☐ is attached hereto (required only if not communicated by the International Bureau).
  - ☒ has been communicated by the International Bureau.
  - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - ☐ is attached hereto.
  - ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - ☐ are attached hereto (required only if not communicated by the International Bureau).
  - ☐ have been communicated by the International Bureau.
  - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - ☒ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
- ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

- ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ A preliminary amendment.
- ☐ An Application Data Sheet under 37 CFR 1.76.
- ☐ A substitute specification.
- ☐ A power of attorney and/or change of address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
- ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
- ☒ Other items or information: PCT/IB301; 304; 308 forms; PCT Published Application w/search report; Written Opinion, International Preliminary Examination Report

Express Mail: EV335874815US

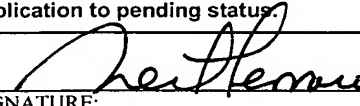
page 1 of 2

SEND TO: MAIL STOP PCT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450

DATE OF MAILING: FEBRUARY 8, 2005

DT01 Rec'd PCT/PT 09 FEB 2005

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Approved for use through 3/31/2007. OMB 0651-0021  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. APPLICATION NO. (If known, see 37 CFR 1.10) <b>107524100</b>		INTERNATIONAL APPLICATION NO. PCT/US03/25068		ATTORNEY'S DOCKET NUMBER R0579.70000US01	
21. <input checked="" type="checkbox"/> The following fees are submitted:				<b>CALCULATIONS</b> PTO USE ONLY	
<b>BASIC NATIONAL FEE</b>					
Filing Fee (\$300)				\$	300.00
Search Fee (\$400)				\$	400.00
Examination Fee (\$200)				\$	200.00
Application Size Fee, each additional 50 sheets over 100 sheets (\$250 per page)				\$	
<b>TOTAL FILING FEE =</b>				\$	900.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total claims	27 - 20 =	7	x \$50.00	\$	350.00
Independent claims	1 - 3 =	0	x \$200.00	\$	0.00
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+ \$360.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	1250.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	625.00
<b>SUBTOTAL =</b>				\$	625.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$	625.00
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$	625.00
				<b>Amount to be refunded:</b>	\$
				<b>Amount to be charged:</b>	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>625.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>23/2825</u> in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23/2825</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p>					
<p>SEND ALL CORRESPONDENCE TO:</p> <p>Wolf, Greenfield &amp; Sacks, P.C. 600 Atlantic Avenue Boston, Massachusetts 02210-2206 Tel. (617) 646-8000</p>			<p style="text-align: center;"> SIGNATURE:</p> <p style="text-align: center;">Neil P. Ferraro NAME</p>		
<p>CUSTOMER NUMBER:</p> <p><b>23628</b></p>			<p style="text-align: right;">39,188 REGISTRATION NUMBER</p>		

TRANSMITTAL LETTER TO THE  
UNITED STATES RECEIVING OFFICE

Date	11 August 2003 (11.08.03)
International Application No.	10/524100
Attorney Docket No.	R00579.70000

## I. Certification under 37 CFR 1.10 (if applicable)

EV335880816US
Express Mail Mailing Number

11 August 2003 (11.08.03)
Date of Deposit

II. ☒ New International Application

TITLE	APPARATUS FOR DISPLAYING CULINARY, HORTICULTURAL OR FLORAL ITEMS	Earliest priority date (Day/Month/Year)
		09 August 2002 (09.08.02)

**SCREENING DISCLOSURE INFORMATION:** In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A. ☐ The invention disclosed was not made in the United States.  
 B. ☐ There is no prior U.S. application relating to this invention.  
 C. ☒ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority.)

Application No.	10/215,994	filed on	09 August 2002 (09.08.02)
Application No.		filed on	

- D. ☐ The present international application ☐ is identical to ☐ contains less subject matter than that found in the prior U.S. application(s) identified in paragraph C above.  
 E. ☒ The present international application ☒ contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C above. The additional subject matter is found on pages throughout and ☒ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15.

III. ☐ A Response to an Invitation from the RO/US. The following document(s) is/are enclosed:

- A. ☐ A Request for An Extension of Time to File a Response  
 B. ☐ A Power of Attorney (General or Regular)  
 C. ☐ Replacement pages:

pages		of the request	pages		of the figures
pages		of the description	pages		of the abstract
pages		of the claims			

D. ☐ Submission of Priority Documents


Priority document		Priority document	
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- E. ☐ Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

IV. ☐ A Request for Rectification under PCT 91 ☐ A Petition ☐ A Sequence Listing DisketteV. ☒ Other (please specify):

PCT Request Form RO/101 (3 sheets); Fee Calculation Sheet; Application for Patent (11 sheets Description (excl. Seq. Listing), 4 sheets Claims, 1 sheet(s) Abstract, 13 sheets Drawings, 0 sheets Seq. Listing Part of Description); Check# 440016602; \$ 2300.00;

The person  
signing this  
form is the:

<input type="checkbox"/> Applicant	FERRARO, Neil P.
<input checked="" type="checkbox"/> Attorney/Agent Reg. No. 39,188	Typed Name of Signer
<input type="checkbox"/> Common Representative	 Signature